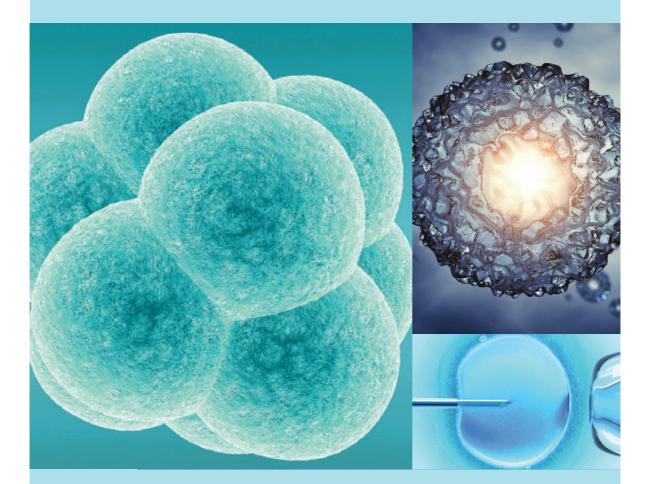


BUILDING A HEALTHY AUSTRALIA

NHMRC Embryo Research Licensing Committee
Report to the Parliament of Australia

For the period 1 March to 31 August 2019



NHMRC

#### **Publication Details**

Publication title:	NHMRC Embryo Research Licensing Committee: Report to the Parliament of Australia for the period 1 March 2019 to 31 August 2019		
Published:	2019		
Publisher:	National Health and Medical Research Council		
NHMRC Publication reference:	HC56		
Online version	www.nhmrc.gov.au/guidelines/publications/hc56		
ISSN Print:	2651-8554		
ISSN Online:	2651-8562		
Suggested citation:	National Health and Medical Research Council (2019) NHMRC Embryo Research Licensing Committee: Report to the Parliament of Australia for the period 1 March 2019 to 31 August 2019. Canberra: National Health and Medical Research Council		

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The Hon Greg Hunt MP Minister for Health Parliament House Canberra ACT 2600

Dear Minister Hunt

I am pleased to present to you the thirty-fourth biannual report from the National Health and Medical Research Council (NHMRC) Embryo Research Licensing Committee (the NHMRC Licensing Committee), which, in accordance with section 19(3) of *Research Involving Human Embryos Act 2002* (the Act), reports on the operation of the Act and the licences issued under it.

This report is for the period 1 March to 31 August 2019 and describes the activities the NHMRC Licensing Committee has undertaken during this reporting period, including associated monitoring and compliance activities.

The NHMRC Licensing Committee met twice during this reporting period, issued one licence and considered five applications seeking to vary previously issued licences for the use of excess assisted reproductive technology embryos and human eggs. In total, twenty-two licences have been issued under the Act since the legislation commenced, of which nine were current at 31 August 2019.

Yours sincerely

and NEl

Professor Dianne Nicol Chairperson NHMRC Embryo Research Licensing Committee November 2019

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# **Table of contents**

Introduction	1
Legislative framework	1
Reporting to Parliament	1
Further information	2
Membership of the NHMRC Licensing Committee	3
Functions	3
Operation of the NHMRC Licensing Committee	4
Committee meetings	4
Consideration of licence applications	4
New licences issued	4
Variations to existing licences	4
Progress of licensed activities	5
Licence holder reports	5
Licensed use of excess ART embryos	8
Licensed use of human eggs or creation of other embryos	10
Monitoring compliance with the legislation	11
Monitoring activities	11
Communication and awareness	12
Information exchange visits	12
Appendix A: Membership of the NHMRC	
Licensing Committee	13
Appendix B: Variations to licences	14
Appendix C: Corresponding State and	
Territory legislation	15
Appendix D: Glossary of Common Terms	16

# Introduction

## Legislative framework

The Commonwealth *Prohibition of Human Cloning for Reproduction Act 2002* (PHCR Act) and *Research Involving Human Embryos Act 2002* (RIHE Act) were developed to address community concerns, including ethical concerns, about scientific developments in relation to human reproduction and the utilisation of human embryos in research activities. The legislation prohibits human cloning for reproductive purposes and a range of other practices relating to reproductive technology. It also regulates research activities that involve the use of human embryos created by assisted reproductive technology (ART) or by other means. There are strong penalties for non-compliance with the legislation.

The RIHE Act established the Embryo Research Licensing Committee of the National Health and Medical Research Council (the NHMRC Licensing Committee) as a Principal Committee of the NHMRC. One of the functions of the NHMRC Licensing Committee is to consider applications for licences to conduct research involving human embryos. As required under section 29 of the RIHE Act, the NHMRC Licensing Committee maintains a publicly available database containing information about licences issued. This database can be accessed on the NHMRC website at www.nhmrc.gov.au.

In April 2002 and again in April 2007, the Council of Australian Governments agreed to introduce nationally consistent legislation to support the regulatory framework. Information about the implementation of complementary state and territory legislation is included at **Appendix C** to this report.

### **Reporting to Parliament**

Section 19(3) of the RIHE Act requires the NHMRC Licensing Committee to table six-monthly reports in either House of Parliament on or before 30 June and 31 December each year, and at any other time as required by either House of Parliament. The reports must include information about the operation of the RIHE Act and about licences issued under this Act.

This is the thirty-fourth Parliamentary Report of the NHMRC Licensing Committee, which covers the period 1 March 2019 to 31 August 2019.

### **Further information**

Further information about this report and the issue of licences can be obtained by contacting:

Director, Strategic Projects and Support Research Quality and Priorities NHMRC GPO Box 1421 CANBERRA ACT 2601 Telephone: 02 6217 9000 Email: embryo.research@nhmrc.gov.au Website: www.nhmrc.gov.au

## Membership of the NHMRC Licensing Committee

The NHMRC Licensing Committee was established in May 2003 under the *Research Involving Human Embryos Act 2002* (RIHE Act). The nine-member NHMRC Licensing Committee is responsible for making statutory decisions as outlined in the RIHE Act.

Members are appointed by the Minister for Health, according to the process prescribed in the RIHE Act. Appointments are on a part-time basis for a period not exceeding three years with members eligible for reappointment.

NHMRC Licensing Committee appointments for the 2018-2021 NHMRC triennium commenced on 26 September 2018.

The membership of the NHMRC Licensing Committee is detailed at **Appendix A**.

### **Functions**

Established as a Principal Committee of the NHMRC, the functions of the NHMRC Licensing Committee are to:

- consider applications for licences to conduct research involving human embryos
- issue (subject to conditions) or not issue such licences
- maintain a publicly available database containing information about licences issued
- monitor licensed activities and ensure compliance with the legislation through the appointment of inspectors and take necessary enforcement action, such as cancelling or suspending licences
- report to the Parliament of Australia on the operation of the RIHE Act and the licences issued under this Act
- perform such other functions as are conferred on it by the RIHE Act or any other relevant law.

# **Operation of the NHMRC** Licensing Committee

## **Committee meetings**

During the reporting period the NHMRC Licensing Committee met on 16 March 2019 and 3 July 2019.

# Consideration of licence applications

No licence applications were received during the reporting period.

## New licences issued

One licence was issued in the reporting period. On 3 June 2019, the NHMRC Licensing Committee issued Licence 309726 to Genea Limited. The licence permits Genea Limited to use excess ART embryos to train embryologists in the technique of day five embryo biopsy.

### Variations to existing licences

The RIHE Act empowers the NHMRC Licensing Committee to vary a licence issued under the Act. Variations to licences may either be requested by the licence holder or initiated by the Committee. Variations may be of an administrative nature (e.g. change to site address) or may relate to aspects of the authorised activities (e.g. number of embryos used).

During the reporting period the NHMRC Licensing Committee approved five variations to licences, all initiated by licence holders, as follows:

- three variations related to the extension of a licence
- one variation involved a change to the list of persons authorised to conduct the licenced activity, and
- one variation involved a change to the list of persons authorised to conduct the licenced activity and amended the skills required before a trainee is permitted to use excess ART embryos.

No variations were initiated by the NHMRC Licensing Committee.

Further information about variations to existing licences approved during the reporting period is at **Appendix B**.

## **Progress of licensed** activities

## Licence holder reports

Licence holders are required to report every six months on the progress of their licensed activities. The following reports on the progress of licensed activities are provided here as received from the licence holders.

Licence number	309702B
Licence holder	Genea Limited
Licence title	Development of methods for pre-implantation genetic and metabolic evaluation of human embryos
Progress of licensed activity to date	No work has been carried out in this reporting period.

### **Current licences**

Licence number	309703			
Licence holder	Genea Limited			
Licence title	Development of human embryonic stem (ES) cells			
Progress of licensed activity to date	Under this licence we have derived a total of thirty (30) cell lines, four of which are karyotypically abnormal.			
	Cell lines from this licence have been registered at the NIH registry and have been approved by the Steering Committee of the UK Stem Cell Bank for research use in the UK.			
	Cell lines are available to researchers worldwide for basic disease research and drug development projects. Various distribution services aid in this process.			

Licence number	309710			
Licence holder	Genea Limited			
Licence title	Derivation of human embryonic stem cells from embryos identified through preimplantation genetic diagnosis to be affected by known serious monogenic conditions			
Progress of licensed activity to date	Under this licence, a total of forty-six (46) affected stem cell lines have been derived, four of which are karyotypically abnormal.			
	Cell lines from this licence have been registered at the NIH registry and have been approved by the Steering Committee of the UK Stem Cell Bank for research use in the UK.			
	Cell lines are available to researchers worldwide for basic disease research and drug development projects. Various distribution services aid in this process.			

Licence number	309718			
Licence holder	Genea Limited			
Licence title	Use of excess ART embryos and clinically unusable eggs for validation of an IVF device			
Progress of licensed activity to date	Over the lifetime of the project, clinically unsuitable abnormally fertilised eggs and excess-declared ART blastocysts have been used to develop an automated vitrification instrument (Gavi) for freezing of oocytes, zygotes/cleavage stage and blastocyst stage embryos. After the product development process, the instrument and associated consumables are CE marked products and are commercially distributed across several regions. The Gavi system has approved protocols for freezing of oocytes, zygotes/cleavage stage and blastocyst stage embryos. Further optimisations for the different developmental stages may be required as post market surveillance data is continuously monitored.			

Licence number	309719			
Licence holder	Genea Limited			
Licence title	Use of excess ART embryos for the development of improved IVF culture media			
Progress of licensed activity to date	The current projects are centred around developing new products for inclusion within the Gems media suite. These projects, which vary widely depending on the product in question, are ongoing; some having utilised excess ART embryos already and some progressing to a stage where they are likely to do so.			
	The use of clinically excess ART embryos in product development is essential. Animal models play a large part in progressing new media, but as their response is not always a true representation of how human embryos will respond, it is important to have a stage between animal model experiments and clinical use, improving confidence in the new products before subjecting patients to those new innovations.			

Licence number	309723			
Licence holder	Melbourne IVF Pty Ltd			
Licence title	Use of excess ART embryos for blastocyst-stage biopsy training			
Progress of licensed activity to date	Licence Number 309723 involves the use of excess ART embryos to train scientists in the technique of embryo biopsy at the blastocyst stage of development. This technique involves removal of a small piece of tissue (trophectoderm) from the embryo and the processing of this tissue in a way that allows it to be subjected to genetic testing. The license was renewed for the next three years.			

Licence number	309724			
Licence holder	IVFAustralia Pty Ltd			
Licence title	Use of excess ART embryos for blastocyst-stage biopsy training			
Progress of licensed activity to date	No activity has occurred using embryos covered by this licence in this reporting period.			

Licence number	309725
Licence holder	TasIVF Pty Ltd
Licence title	Use of excess ART embryos for blastocyst-stage embryo biopsy training
Progress of licensed activity to date	Twenty eight embryos were thawed in five lots on the 02/08/2019, 19/08/2019, 23/08/2019, 26/08/2019 and the 27/08/2019. This resulted in 12 blastocysts for biopsy. Trainee successfully biopsied all 12 blastocysts. Cell loading was successful for 12 of the blastocyst. Training has been completed. A new trainee is to be added to the licence and training once this has been approved.

Licence number	309726		
Licence holder	Genea Limited		
Licence title	Use of excess ART embryos for training in an alternate biopsy method (day five hatch and biopsy)		
Progress of licensed	Since the issue of the licence in June 2019 the consent process has been initiated.		
activity to date	At this time licence activity has not commenced as we are awaiting on sufficient number of consents to be granted from patients to start training several trainees simultaneously.		

# Licensed use of excess ART embryos

The following tables show the use of excess ART embryos under licence, as at 31 August 2019.

### **Current research licences**

Licence number	Licence holder	Licence title	Embryos authorised to be used under licence	Embryos used in licensed activity up to 31 August 2019	Embryos used during the reporting period
309702B	Genea Limited	Development of methods for pre-implantation genetic and metabolic evaluation of human embryos	220	58	0
309703	Genea Limited	Development of human embryonic stem (ES) cells	300 (plus up to 20 inner cell masses which may be transferred from 309702A or 309702B)	249 (plus 12 embryos first used in 309702A and then transferred to 309703)	0
309710	Genea Limited	Derivation of human embryonic stem cells from embryos identified through preimplantation genetic diagnosis to be affected by known genetic conditions	500	304	0
309718	Genea Limited	Use of excess ART embryos and clinically unusable eggs for validation of an IVF device	345	259	0
309719	Genea Limited	Use of excess ART embryos for the development of improved IVF culture media	640	58	0
Total for cu	rrent licences		2005	928	0

### **Current training licences**

Licence number	Licence holder	Licence title	Embryos <u>per trainee</u> authorised to be used under licence <sup>1</sup>	Number of active authorised trainees at 31 August 2019	Embryos used in licensed activity up to 31 August 2019 (total, all trainees) <sup>2</sup>	Embryos used during the reporting period (total, all trainees)
309723	Melbourne IVF Pty Ltd	Use of excess ART embryos for blastocyst- stage biopsy training	67 <sup>3</sup>	3	200	24
309724	IVF Australia Pty Ltd	Use of excess ART embryos for blastocyst- stage biopsy training	24	4	13	0
309725	TasIVF Pty Ltd	Use of excess ART embryos for blastocyst- stage embryo biopsy training	52	1	98	28
309726	Genea Limited	Use of excess ART embryos for training in an alternate biopsy method (day five hatch and biopsy)	25	21	0	0
Total for current licences				311	52	

<sup>1</sup> The Special Conditions of each licence permit this number of embryos to be removed from cryostorage and thawed in order to obtain a smaller number of suitable embryos for the training activity.

<sup>2</sup> Reflects the total number of embryos removed from cryostorage across the period of the licence, noting that the total number of embryos authorised for use under each licence is dependent on the total number of authorised trainees and fluctuates as authorised trainees are added or removed from the licence.

<sup>3</sup> From 19 December 2014 to 10 December 2018 Melbourne IVF was permitted to thaw 50 embryos for each authorised trainee. From 11 December 2018, Melbourne IVF is permitted to thaw 67 embryos for each authorised trainee.

# Licensed use of human eggs or creation of other embryos

The following tables show the use of human eggs or creation of other embryos under licence, as at 31 August 2019. "Other embryos" is the term used in the RIHE Act to refer to human embryos created by processes other than fertilisation of a human egg by a human sperm.

### **Current licences**

Licence number	Licence holder	Licence title	Eggs authorised to be used under licence	Eggs used in licensed activity up to 31 August 2019	Eggs used during the reporting period
309718	Genea Limited	Use of excess ART embryos and clinically unusable eggs for validation of an IVF device	1000	407	0
Total			1000	407	0

# Monitoring compliance with the legislation

The NHMRC is committed to ensuring that individuals and licence holder organisations comply with both the RIHE Act and the PHCR Act. The legislation establishes a Monitoring and Compliance Framework, which involves the appointment of inspectors and the conduct of a range of monitoring and compliance activities. Further information about the Embryo Research Monitoring and Compliance Framework can be found on the NHMRC website at https://www.nhmrc.gov.au/research-policy/embryoresearch-licensing.

## **Monitoring** activities

NHMRC inspectors did not conduct any licence inspections during the reporting period.

## **Communication and** awareness

The NHMRC Licensing Committee has published an information kit that can be accessed on the NHMRC website at: www.nhmrc.gov.au. Researchers and other interested people can contact the committee by e-mail or telephone. The committee responds to all queries received.

## Information exchange visits

No information exchange visits were conducted during this reporting period.

## Appendix A: Membership of the NHMRC Licensing Committee

Members of the NHMRC Licensing Committee for the 2018-2021 triennium are:

**Professor Dianne Nicol, Tasmania (Chairperson)** A person with expertise in a relevant area of law

Associate Professor Bernadette Richards, South Australia A member of the Australian Health Ethics Committee (AHEC)

**Professor Sheryl de Lacey, South Australia** *A person with expertise in research ethics* 

**Professor Justin St. John, South Australia** *A person with expertise in a relevant area of research* 

**Professor Stephen Robson, Australian Capital Territory** *A person with expertise in assisted reproductive technology* 

**Ms Dianne Petrie OAM, New South Wales** A person with expertise in consumer issues relating to disability and disease

**Ms Kay Oke OAM, Victoria** A person with expertise in consumer issues relating to assisted reproductive technology

**Ms Louise Johnson, Victoria** A person with expertise in the regulation of assisted reproductive technology

**Professor Patrick Tam, New South Wales** A person with expertise in embryology

# **Appendix B:** Variations to licences

During the reporting period, the NHMRC Licensing Committee approved the following variations to existing licences:

Licence No.	Organisation	Date of variation	Brief description of variation
309702B	Genea Limited	15 April 2019	Extension of licence
309703	Genea Limited	15 April 2019	Extension of licence
309710	Genea Limited	15 April 2019	Extension of licence
309725	TasIVF	24 April 2019	Removal of authorised person from list of authorised persons
309725	TasIVF	3 July 2019	Addition of authorised person and variation to skills required before a trainee is permitted to use excess ART embryos

# Appendix C: Corresponding State and Territory legislation

Following the passage of the *Prohibition of Human Cloning and the Regulation of Human Embryo Research Amendment Act 2006*, embryo research in Australia must comply with both Commonwealth and corresponding state and territory legislation. At the 13 April 2007 Council of Australian Governments (COAG) meeting, all jurisdictions (except the Northern Territory) restated their commitment to introduce nationally consistent legislation.

Victoria, New South Wales, Tasmania, Queensland, the Australian Capital Territory and South Australia have all passed amending complementary legislation. The relevant legislation for each state and territory has been declared to be a corresponding law by the Minister responsible for the *Research Involving Human Embryos Act 2002*.

The relevant state and territory legislation is as follows:

Victoria Research Involving Human Embryos Act 2008

### **New South Wales**

Research Involving Human Embryos (New South Wales) Act 2003

### Tasmania

Human Embryonic Research Regulation Act 2003

### Queensland

Research Involving Human Embryos and Prohibition of Human Cloning for Reproduction Act 2003

### South Australia

Research Involving Human Embryos Act 2003

### **Australian Capital Territory**

Human Cloning and Embryo Research Act 2004

# Appendix D: Glossary of Common Terms

Term	Description	
AHEC	Australian Health Ethics Committee (a Principal Committee of the National Health and Medical Research Council).	
Application for a licence	Application form for a licence to conduct research activities permitted under section 20(1) of the <i>Research Involving Human Embryos Act 2002.</i>	
ART	Assisted reproductive technology.	
ART embryo	A human embryo that was created by assisted reproductive technology for use in the assisted reproductive technology treatment of a woman.	
Blastocyst	A 5 to 7 day-old embryo that has an outer layer of cells and a fluid filled cavity in which there is a cluster of cells called the inner cell mass.	
COAG	The Council of Australian Governments is the peak intergovernmental forum in Australi. The members of COAG are the Prime Minister, state and territory Premiers and Chief Ministers and the President of the Australian Local Government Association.	
Compliance	Ensuring that the requirements of the <i>Research Involving Human Embryos Act 2002</i> and the <i>Prohibition of Human Cloning for Reproduction Act 2002</i> are met.	
Embryonic Stem Cell	II An undifferentiated cell that is a precursor to many different cell types, obtained from preimplantation embryo, usually at blastocyst stage.	
Excess ART embryo	An ART embryo that is excess to the needs of the woman for whom it was created and her spouse (if any) at the time the embryo was created, as determined in writing by section 9 of the <i>Research Involving Human Embryos Act 2002.</i>	
Gamete	A human sperm or egg (ovum or oocyte).	
HREC	A human research ethics committee.	
Human Embryo Clone	A human embryo that is a genetic copy of another living or dead human.	
Information Exchange Visit	A pre-arranged visit by NHMRC inspectors to provide information about the legislation to interested stakeholders.	
Inspection	An inspection of records, documents and premises to ensure compliance with licence conditions and the <i>Research Involving Human Embryos Act 2002</i> and the <i>Prohibition of Human Cloning for Reproduction Act 2002</i> .	
Investigation	An inquiry into a suspected breach of the legislation with the aim of gathering evic An investigation may be initiated as a consequence of monitoring by NHMRC inspective self-reporting or third party reporting.	
IVF	In vitro fertilisation.	
Monitoring	Activities conducted to assess the level of compliance with licence conditions, the <i>Research Involving Human Embryos Act 2002</i> and the <i>Prohibition of Human Cloning</i> <i>for Reproduction Act 2002.</i>	
NHMRC	National Health and Medical Research Council.	
NHMRC Licensing Committee	The Embryo Research Licensing Committee of the National Health and Medical Research Council.	

Term	Description
"Other embryos"	"Other embryos" is the term used in the <i>Research Involving Human Embryos Act 2002</i> to refer to human embryos created by processes other than fertilisation of a human egg by a human sperm.
Parthenogenetic	A process in which an unfertilised egg can be induced to develop like an embryo.
Preimplantation Genetic Diagnosis	A procedure used prior to implantation to detect serious genetic conditions, diseases or abnormalities, to which the gamete providers are known to be at risk, to carry or to be predisposed.
Proper Consent	Consent obtained in accordance with the <i>Ethical Guidelines on the use of Assisted</i> <i>Reproductive Technology in Clinical Practice and Research 2017</i> , issued by the NHMRC.
Somatic Cell Nuclear Transfer (SCNT)	A laboratory technique used to create a human embryo clone involving removing the nucleus of a human egg and replacing it with the genetic material from a somatic cell (such as a skin cell or fibroblast) or stem cell line.
SCNT Construct	An entity created by the process of SCNT, which may or may not divide to become an "other embryo".

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