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National Health and Medical Research Council

BUILDING A HEALTHY AUSTRALIA

NHMRC Embryo Research Licensing Committee
Report to the Parliament of Australia

For the period 1 March to 31 August 2023



N H M R C

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Australian Government

National Health and Medical Research Council



The Hon Mark Butler MP Minister for Health and Aged Care Parliament House Canberra ACT 2600

Dear Minister

I am pleased to present to you the 42nd biannual report from the National Health and Medical Research Council's (NHMRC) Embryo Research Licensing Committee (ERLC) which, in accordance with section 19(3) of the *Research Involving Human Embryos Act 2002* (RIHE Act), reports on the operation of the RIHE Act and the licences issued under it.

This report is for the period 1 March to 31 August 2023 and describes the activities ERLC has undertaken during this reporting period, including associated monitoring and compliance activities.

ERLC met three times during this reporting period, considered three applications to vary existing licences and initiated variations to all the licences to improve readability. ERLC also oversaw the inspection of two licence holder's premises during June and August. As of 31 August 2023, there were four general licences issued under the RIHE Act.

ERLC is the responsible authority for licensing research and specialised training in mitochondrial donation techniques, and licensing and overseeing a suitable IVF clinic to deliver mitochondrial donation as part of a clinical research trial. There were no mitochondrial donation licences issued during the reporting period.

Yours sincerely

- NIL

Professor Dianne Nicol Chairperson NHMRC Embryo Research Licensing Committee 24 October 2023

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# Introduction

# Legislative framework

The Commonwealth *Prohibition of Human Cloning for Reproduction Act 2002* (PHCR Act) and *Research Involving Human Embryos Act 2002* (the RIHE Act) were developed to address community concerns, including ethical concerns, about scientific developments in relation to human reproduction and the use of human embryos in research activities. The legislation prohibits human cloning for reproductive purposes and a range of other practices relating to reproductive technology. It also regulates research activities that involve the use of human embryos created by assisted reproductive technology (ART) or by other means. There are strong penalties for non-compliance with the legislation.

The RIHE Act established the Embryo Research Licensing Committee (ERLC) of the National Health and Medical Research Council (NHMRC) as a Principal Committee of NHMRC. One of the functions of ERLC is to consider applications for licences to conduct research involving human embryos. As required under section 29 of the RIHE Act, ERLC maintains a publicly available database containing information about licences issued. This database can be accessed on the NHMRC website at www.nhmrc.gov.au.

The *Mitochondrial Donation Law Reform (Maeve's Law) Act 2022* came into effect on 1 October 2022. The Act amended the PHCR and RIHE Acts to allow mitochondrial donation (an assisted reproductive technology technique that might help prevent certain rare mitochondrial diseases) to be used in research and training activities and for human reproductive purposes subject to the outcome of clinical trials. ERLC is the responsible authority for the mitochondrial donation licensing scheme and administers three licence types under this licensing scheme.

# **Reporting to Parliament**

Section 19(3) of the RIHE Act requires ERLC to table twice yearly reports in either House of Parliament on or before 30 June and 31 December each year and at any other time as required by either House of Parliament. The reports must include information about the operation of the RIHE Act and about licences issued under this Act.

This is the 42nd Report to Parliament by ERLC and covers the period 1 March to 31 August 2023.

# **Further information**

Further information about this report and the issue of licences can be obtained by contacting:

Director, Research Quality and Equity Research Quality and Advice Branch NHMRC GPO Box 1421 CANBERRA ACT 2601

Telephone: 02 6217 9000 Email: embryo.research@nhmrc.gov.au Website: www.nhmrc.gov.au

# **Membership of ERLC**

ERLC was established in May 2003 under the RIHE Act. The nine-member committee is responsible for making statutory decisions as outlined in the RIHE Act.

Members are appointed by the Minister for Health and Aged Care, according to the process prescribed in the RIHE Act. Appointments are on a parttime basis for a period not exceeding three years, with members eligible for reappointment.

ERLC appointments for the 2021–2024 triennium commenced on 30 September 2021.

The membership of ERLC is detailed at **Appendix A**.

# Functions

The functions of ERLC are to:

- consider general and mitochondrial donation applications for licences to conduct research involving human embryos
- issue (subject to conditions) or not issue such licences
- maintain a publicly available database containing information about licences issued
- monitor licensed activities and ensure compliance with the legislation through the appointment of inspectors and take necessary enforcement action, such as cancelling or suspending licences
- report to the Parliament of Australia on the operation of the RIHE Act and the licences issued under this Act
- perform such other functions as are conferred on it by the RIHE Act or any other relevant law.

# **Operation of ERLC**

# **Committee meetings**

During the reporting period ERLC met three times. Two scheduled meetings on 21 March and 18 July 2023 and one extraordinary meeting on 26 May 2023.

# New licences issued

No licences were issued during the reporting period.

# Variations to existing licences

The RIHE Act empowers ERLC to vary any licence issued under the Act. Variations to licences may either be requested by the licence holder or initiated by ERLC. Variations may be of an administrative nature (e.g., change to site address) or may relate to aspects of the authorised activities (e.g., number of embryos used).

During the reporting period ERLC varied 4 licences. Further information about variations to licences approved during the reporting period is at **Appendix B**.

# **Progress of licensed** activities

# Licence holder reports

Licence holders are required to report every six months on the progress of their licensed activities. The following reports on the progress of licensed activities are provided here as received from the licence holders.

## **Current licences**

Licence 309718 – Genea Limited		
Licence title	Use of excess ART embryos and clinically unusable eggs for validation of an IVF device.	
Progress of licensed activity to date	Over the lifetime of the project, clinically unsuitable abnormally fertilised eggs and excess- declared ART blastocysts have been used to develop an automated vitrification instrument (Gavi) for freezing of oocytes, zygotes/cleavage stage and blastocyst stage embryos.	
	After the product development process, the instrument and associated consumables are CE <sup>1</sup> marked products and are commercially distributed across several regions.	
	The Gavi system has approved protocols for freezing of oocytes, zygotes/cleavage stage and blastocyst stage embryos.	
	Further optimisations for the different developmental stages may be required as post market surveillance data is continuously monitored, and commercial success ascertained.	

Licence 309719 – Genea Limited		
Licence title	Use of excess ART embryos for the development of improved IVF culture media	
Progress of licensed activity to date	The current projects are centred around developing new products for inclusion within the Gems media suite. These projects, which vary widely depending on the product in question, are ongoing; some having utilised excess ART embryos already and some progressing to a stage where they are likely to do so.	
	The use of clinically excess ART embryos in product development is essential. Animal models play a large part in progressing new media, but as their response is not always a true representation of how human embryos will respond, it is important to have a stage between animal model experiments and clinical use, improving confidence in the new products before subjecting patients to those new innovations.	

CE mark affirms compliance with the legislation applicable in the European Economic Area.

#### **Progress of licensed activities**

Licence 309727 – Melbourne IVF		
Licence title	Comprehensive chromosomal analysis of human preimplantation embryos	
Progress of licensed activity to date	During this reporting period, identification and recruitment of eligible patients continued. Four patients provided their consent to donate their embryos to the project. Eight embryos from one patient were removed from storage and warmed for the project. Two of those embryos did not develop to a stage that could be used and were discarded. The remaining six embryos were used for the project. All other embryos remain in storage.	

Licence 309729 – Monash University		
Licence title	The generation and study of a novel <i>in-vitro</i> model of human blastocysts ('iBlastoids')	
Progress of licensed activity to date	During the preceding six months, we have made advancements towards addressing the key objectives in generating and characterising iBlastoids, exploring alternative formation methods, and establishing unique blastoid stem cell types, particularly blastoid-derived Pluripotent Stem Cells (bPSCs).	
	Since the licence issue, 1,018 iBlastoid/blastoid structures were successfully generated (665 during the reporting period), marked by 978 structures subjected to in-depth characterisation, and 40 iBlastoids cryogenically preserved for future applications.	
	Our experiments to date encompassed diverse strategies and media formulations aimed to optimise iBlastoid assembly and formation. An alternative approach utilising naïve hiPSCs resulted in the generation of blastoids expressing distinct lineage markers. Further success of iBlastoid generation from reprogramming intermediate cells was achieved through optimised protocols, alternative approaches, and meticulous media and plate format exploration.	
	Initiatives to establish blastoid-derived Pluripotent Stem Cell (bPSC) lines proved successful, yielding 12 lines from MD2, MD4, and MD6 donors. Overall, this period was marked by steady progress in iBlastoid and bPSC research, despite challenges in cryopreservation and FBS variability, facilitating future approaches.	

# Licensed use of excess ART embryos

The following table shows the use of excess ART embryos under licence, as at 31 August 2023.

Licence number	Licence holder	Licence title	Embryos authorised to be used under licence	Embryos used in licensed activity up to 31 August 2023	Embryos used during the reporting period
309718	Genea Limited	Use of excess ART embryos and clinically unusable eggs for validation of an IVF device	345	259	0
309719	Genea Limited	Use of excess ART embryos for the development of improved IVF culture media	640	58	0
309727	Melbourne IVF Pty Ltd	Comprehensive chromosomal analysis of human preimplantation embryos	100 (maximum of 200 excess ART embryos may be removed from cryostorage and thawed to obtain the 100 embryos)	8	8
Total for cu	rrent research	licences	1,085	325	8

## **Current research licences**

## Licensed use of human eggs

The following table shows the use of human eggs under licence, as at 31 August 2023.

## **Current research licences**

Licence number	Licence holder	Licence title	Eggs authorised to be used under licence	Eggs used in licensed activity up to 31 August 2023	Eggs used during the reporting period
309718	Genea Limited	Use of excess ART embryos and clinically unusable eggs for validation of an IVF device	1,000	407	0
Total for cur	rent licences		1,000	407	0

# Licensed use of 'other embryos'

The following table shows the use<sup>2</sup> of 'other embryos' under licence, as at 31 August 2023.

## **Current research licences**

Licence number	Licence holder	Licence title	<sup>4</sup> Other embryos <sup>2</sup> authorised to be used under licence <sup>3</sup>	'Other embryos' used in licensed activity up to 31 August 2023.	'Other embryos' used during the reporting period
309729	Monash University	The generation and study of a novel <i>in-vitro</i> model of human blastocysts ('iBlastoids')	117,010* *initially assessed as showing basic morphological features of an iBlastoid	1,018	665
Total for curi	rent licences		117,010	1,018	665

<sup>2</sup> Use is defined in the RIHE Act as: "use includes develop, or development, as the case requires"; for licence number 309729 this includes the creation of an iBlastoid for the activities authorised under that licence.

<sup>3</sup> ERLC, as the regulator, made a decision based on the principles of statutory interpretation that iBlastoids come within the definition of a human embryo under the RIHE Act, and therefore regulation and oversight as 'other embryos'. This decision relates to work undertaken under Licence 309729 issued to Monash University and further information can be found at: www.nhmrc.gov.au/about-us/news-centre/nhmrc-statement-iblastoids

# Monitoring compliance with the legislation

NHMRC is committed to ensuring that individuals and licence holder organisations comply with both the RIHE Act and the PHCR Act.

The legislation establishes a monitoring and compliance framework, which involves the appointment of inspectors and the conduct of a range of monitoring and compliance activities. Further information about the monitoring and compliance activities NHMRC undertakes on behalf of ERLC can be found on the NHMRC website at: www.nhmrc.gov.au/research-policy/ embryo-research-licensing.

# **Monitoring activities**

NHMRC inspectors conducted two on-site licence inspections during the reporting period.

# Licences inspected during reporting period

Licence number	Licence holder	Inspection Type	Inspection Date
309727	Melbourne IVF Ltd	Monitoring	14 June 2023
309729	Monash University	Monitoring	15 August 2023

Throughout the period inspectors continued to monitor information provided by licence holders through legislated six-monthly reports to ERLC and to correspond with licence holders as needed.

### **Outcomes of monitoring activities**

Monitoring Activity	Monitoring Inspection
Licence Number	309727
Licence Holder	Melbourne IVF
Monitoring Activity Date	14 June 2023
Licence Title	Comprehensive chromosomal analysis of human preimplantation embryos
Background	Licence 309727 was issued on 15 August 2022.
	This was the first on-site inspection carried out for this licence.

## Monitoring compliance with the legislation

### Outcomes of monitoring activities

Activities Conducted During Inspection	<ul> <li>Discussed the activity undertaken under the licence, including confirming numbers of excess ART embryos used to date.</li> </ul>
	<ul> <li>Reviewed the laboratory and file storage facilities including hard copy and electronic record-keeping systems relevant to the use of excess ART embryos under the licence.</li> </ul>
	<ul> <li>Tracked a subset of the embryos used in the licensed activity from obtaining proper consent from the responsible persons though to use and embryo succumbing.</li> </ul>
	<ul> <li>Assessed the understanding of the consent process, reviewed the process for ensuring any conditions on consent were recorded and implemented appropriately.</li> </ul>
Findings related to Licence Conditions	<ul><li>The inspectors were satisfied with the licence holder's records and processes.</li><li>The licence holder provided all the information requested by the NHMRC inspectors.</li></ul>
Findings related to compliance with Research Involving Human Embryos Act 2002	• No contraventions of the Research Involving Human Embryos Act 2002 were found.
Compliance Status	Compliant

## Outcomes of monitoring activities

Monitoring Activity	Monitoring Inspection		
Licence Number	309729		
Licence Holder	Monash University		
Monitoring Activity Date	15 August 2023		
Licence Title	The generation and study of a novel in-vitro model of human blastocysts (iBlastoids)		
Background	Licence 309729 was issued on 19 October 2022.		
	This was the first on-site inspection carried out for this licence.		
Activities Conducted During Inspection	<ul> <li>Discussed the activity undertaken under the licence, including numbers of iBlastoids created to date.</li> </ul>		
	<ul> <li>Discussed any issues or concerns the Authorised Persons had in complying with the conditions of the licence.</li> </ul>		
	<ul> <li>Reviewed the laboratory and file storage facilities including hard copy and electronic record-keeping systems relevant to the creation and use of iBlastoids and cell lines under the licence.</li> </ul>		
	<ul> <li>Discussed the process for tracking the iBlastoids used in the licensed activity from obtaining consent from the responsible persons to use and destruction of iBlastoids.</li> </ul>		
Findings related to Licence	The inspectors were satisfied with the licence holder's records and processes.		
Conditions	The licence holder provided all the information requested by the NHMRC inspectors.		
Findings related to compliance with Research Involving <i>Human</i> <i>Embryos Act 2002</i>	• No contraventions of the Research Involving Human Embryos Act 2002 were found.		
Compliance Status	Compliant		

# **Communication and awareness**

ERLC considers that providing opportunities for communication and awareness between stakeholders assists with compliance under the legislation and with individual licence conditions.

General information for both applicants and licence holders can be accessed on NHMRC's website at www.nhmrc.gov.au. The NHMRC website contains more information about embryo research licensing, including copies of the RIHE and PHCR Acts, standard conditions that apply to all licences (unless a particular standard condition is specifically excluded by the Special conditions for a licence), application forms and detailed instructions, checklists, and other explanatory materials.

Individuals and organisations considering applying for a licence under the RIHE Act are strongly encouraged to contact ERLC, noting that NHMRC responds to all queries received.

# Appendix A: Membership of the Embryo Research Licensing Committee

Members of ERLC for the 2021-2024 triennium are:

**Professor Dianne Nicol, Tasmania (Chair)** *A person with expertise in a relevant area of law* 

Associate Professor Bernadette Richards, Queensland A member of the Australian Health Ethics Committee (AHEC)

**Professor Lynn Gillam AM, Victoria** *A person with expertise in research ethics* 

**Professor Sarah Robertson, South Australia** A person with expertise in a relevant area of research

**Professor Stephen Robson, Australian Capital Territory** A person with expertise in assisted reproductive technology

**Dr Carol Wicking, Queensland** A person with expertise in consumer health issues relating to disability and disease

**Ms Cal Volks, Victoria** A person with expertise in consumer issues relating to assisted reproductive technology

**Ms Louise Johnson, Victoria** A person with expertise in the regulation of assisted reproductive technology

**Professor Patrick Tam, New South Wales** *A person with expertise in embryology* 

# **Appendix B: Variations to licences**

During the reporting period, ERLC varied licences as follows:

Licence No.	Organisation	Date of variation	Brief description of variation
309727	Melbourne IVF Ltd	30 June 2023	Removed 'Other Authorised Person'.
309727	Melbourne IVF Ltd	30 June 2023	Varied process for obtaining proper consent.
309729	Monash University	30 June 2023	Removed 'Other Authorised Person'.
309718	Genea Limited		Merged Standard Conditions of licence (v10) with the
309719	Genea Limited	1 August 2023	Renumbered all conditions to improve readability and reformatted the licence document for web accessibility.
309727	Melbourne IVF	T August 2025	
309729	Monash University		

# Appendix C: Glossary of Common Terms

Term	Description
AHEC	Australian Health Ethics Committee (a Principal Committee of the National Health and Medical Research Council).
Application for a licence	Application form for a licence to conduct research activities permitted under section 20(1) of the <i>Research Involving Human Embryos Act 2002</i> .
ART	Assisted reproductive technology.
ART embryo	A human embryo that was created by assisted reproductive technology for use in the assisted reproductive technology treatment of a woman.
Blastocyst	A 5-to-7-day-old embryo that has an outer layer of cells and a fluid filled cavity in which there is a cluster of cells called the inner cell mass.
Chromosomal analysis	Test to look at the number of chromosomes present in a sample of cells, and to identify genetic abnormalities as the cause of a condition or disease.
Cryostorage	The storage of biological material (e.g., cells, tissues, or organs) at ultralow or freezing temperatures to preserve them for future use.
Embryonic stem cell	An undifferentiated cell that is a precursor to many different cell types, obtained from a preimplantation embryo, usually at blastocyst stage.
ERLC	The Embryo Research Licensing Committee of the National Health and Medical Research Council.
Excess ART embryo	An ART embryo that is excess to the needs of the woman for whom it was created and her spouse (if any) at the time the embryo was created, as determined in writing by section 9 of the <i>Research Involving Human Embryos Act 2002</i> .
Gamete	A human sperm or egg (ovum or oocyte).
HREC	A human research ethics committee.
Human embryo clone	A human embryo that is a genetic copy of another living or dead human.
iBlastoid	Human embryos (and human embryo clones) generated through the reprogramming of adult skin cells <i>in-vitro</i> , into a three-dimensional cluster of cells that resemble a blastocyst and has the potential to develop up to the stage at which the primitive streak appears.
Information Exchange Visit	A pre-arranged visit by NHMRC inspectors to provide information about the legislation to interested stakeholders.

Term	Description
Inspection	An inspection of records, documents, and premises to ensure compliance with licence conditions and the <i>Research Involving Human Embryos Act 2002</i> and the Prohibition of Human Cloning for Reproduction Act 2002.
IVF	In vitro fertilisation.
Mitochondrial donation	Mitochondrial donation is an assisted reproductive technology that, when combined with in vitro fertilisation (IVF), has the potential to allow women whose mitochondria would predispose their potential children to mitochondrial disease, to have a biological child who does not inherit that predisposition.
	There are a number of different mitochondrial donation techniques; each involves combining the nuclear DNA from a male and a female with healthy mitochondrial DNA from a donor egg to create an embryo.
Monitoring and compliance activities	Activities conducted to monitor and assess compliance requirements with licence conditions, under the Research Involving Human Embryos Act 2002 and the Prohibition of Human Cloning for Reproduction Act 2002.
NHMRC	National Health and Medical Research Council.
Oocyte	An immature egg cell.
Other embryos	<i>Other embryos</i> is the term used in the <i>Research Involving Human Embryos Act 2002</i> to refer to human embryos created by processes other than fertilisation of a human egg by a human sperm.
Preimplantation genetic diagnosis	A procedure used prior to implantation to detect serious genetic conditions, diseases, or abnormalities, to which the gamete providers are known to be at risk, to carry or to be predisposed.
Primitive streak	An elongated band of cells that forms along the axis of a developing fertilised egg on day 15 of human development, marking the start of gastrulation.
Proper Consent	Consent obtained in accordance with the <i>Ethical Guidelines on the use of Assisted Reproductive Technology in Clinical Practice and Research 2017</i> , issued by NHMRC.
Skin fibroblasts	A connective-tissue cell that secretes molecular collagen proteins into the extracellular matrix to form the structural framework of dermal tissue.
Somatic Cell Nuclear Transfer (SCNT)	A laboratory technique used to create a human embryo clone involving removing the nucleus of a human egg and replacing it with the genetic material from a somatic cell (such as a skin cell or fibroblast) or stem cell line.
Zygote	A cell formed by the fertilisation between two gametes.

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